Framework for Aligning Vocational Habilitation Service Delivery with State and Federal Requirements

Home and Community-Based Waiver Services 42 CFR 440.180 (c)(2)(i)			
What does it require?	How can we do it?	What system changes will help make this work?	What tools can we create to make this work?
Prevocational services are for people not expected to join the general workforce within the next year or participate in a transitional sheltered workshop, except for supported employment. People who are paid are paid less than 50% of the minimum wage. The service is not used to teach a person a specific job but is used to help people learn the general skills needed to work in any job. Services are reflected in the persons service plan identifying the skills to be developed, the main objective	When people receiving Vocational Habilitation/Basic Employment Skills Training (BEST) are paid, the following guidelines will be used: 1. Compensation will be reviewed at least every 12 months to determine if the person has achieved 50% of the minimum wage threshold. 2. "Minimum wage" is the Ohio minimum wage. 3. The calculation will be based off of the state minimum wage and the Bureau of Labor Statistics (BLS) data. BLS tracks how many hours	Develop a guidance document on how to make the annual calculation. Include annual compensation amount. Amend DODD/OOD & DODD/Medicaid Interagency Agreements to reflect. Strengthen relationship with ODE to educate, train, and support local education authorities on the vocational habilitation/(BEST) service. Fully operationalize the Employment First Transition Framework. Build SSA capacity to review compensation standards, facilitate difficult conversations with families, understanding when to	Develop communication and outreach tools to individuals, families, providers, and CB's ongoing throughout the process. Develop Service flow chart that providers/SSA's can use to show the range of adult day and employment services that are available. Develop Employment decision tree that providers can share with families and people with disabilities

for the service cannot be for	per week the average	authorize Voc. Hab/BEST, and Voc.	
employment.	American work and	Hab/BEST rule requirements.	
	releases that information		
DODD's prevocational service	monthly.		
is called <u>Vocational</u>			
Habilitation.	Annual wage earnings will		
	be added to the required		
	employment services		
	progress report that is		
	submitted to the SSA once		
	every 12 months.		
	This information will be		
	used to plan for		
	transitioning the person		
	from Vocational		
	Habilitation/BEST to other		
	needed employment		
	supports.		

Home and Community-Based Services (HCBS) Settings Rule 42 CFR 441.301 (4)			
What does it require?	How can we do it?	What system changes will help make this work?	What tools can we create to make this work?
Wherever a person receives	Strengths, interests and	Amend DODD/OOD and	Increase communication and
Medicaid-funded waiver	abilities are identified	DODD/Medicaid	outreach tools for individuals,
services has to be part of the	through the person-	Interagency Agreements to	families, providers, and CB's ongoing
community.	centered planning	reflect the HCBS Settings	throughout the process
	process.	Rule and reflect required	
The providers of HCBS		partnership to support	
Medicaid-funded waiver	People are given the	people towards community	
services must support people,	opportunity to be involved	employment.	
as needed, with being	in community activities	Character and all and the City	
involved in their community	with support, as needed,	Strengthen relationship with	
in ways that are meaningful	by providers of vocational	ODE to educate, train, and	
to them.	habilitation/BEST services.	support local education authorities on the HCBS	
A person must be given the	SSAs make sure people	Settings Rule.	
choice of receiving services in	are given choices of	Settings Rule.	
places that are not just for	services available to meet	Build SSA capacity to	
people with disabilities	their needs and in non-	understand the HCBS	
The SSA must document all	disability-specific settings	settings rule, facilitate	
the choices a person was	where those services can	difficult conversations with	
offered.	be provided. These	families, understanding	
	options are documented	when to authorize	
New CMS issued guidance	in the record.	Vocational Habilitation/BEST	
indicates that all settings that		and Vocational	
have the effect of isolation do	Monitor ongoing	Habilitation/BEST rule	
not have to be submitted to	compliance with standards	requirements based on the	
CMS for heightened scrutiny	via monitoring by SSAs	HCBS settings rule.	
if the setting implements	and Department level staff		

remediation to the state's satisfaction by July 1, 2020.

• States may submit to CMS those isolating settings that have not completed remediation by July 1, 2020 if a state determines that these settings can implement remediation prior to the expiration of the transition period (March 17, 2022).

using the process outlined for regulatory review of certified providers outlined in OAC 5123:2-2-04.

HCBS Medicaid services rules are updated to reflect settings rule requirements, as needed.

Build provider and SSA capacity to plan, authorize, and deliver services in settings that meet the HCBS Settings Rule.

Set benchmarks in Ohio Transition plan to increase the number of people receiving community based prevocational services.

Gather baseline data of current available community based prevocational services providers by 2019.

a. By 2020, increase community prevocational

Identify what funding would be available to provide training and technical assistance to support sheltered workshops to continue to employ individuals with I/DD without Medicaid funding. The funding would be temporary and would only fund technical assistance for strategic planning and implementation.

	services providers	
	by 10%.	
	b. By 2022, increase	
	community	
	prevocational	
	service providers by	
	20%.	
Rer	mediation plans may be	
req	uired for settings the	
Sta	te determines to have	
the	effect of isolating.	
Hei	ightened scrutiny may	
also	o be requested from	
CM	IS.	

Centers for Medicare and Medicaid Services (CMS) Technical Guide (9/16/2011)			
What does it require?	How can we do it?	What system changes will help make this work?	What tools can we create to make this work?
Prevocational services occur	Develop new HCBS waiver	Develop new HCBS waiver	Develop training and guidance
over a defined period of time	service to be effective in	service to be effective in	materials for SSA's, providers,
(not indefinite/forever).	2020. The new service is	2020. Training and TA to	individuals and families regarding this
	Basic Employment Skills	occur.	service.
The service must be aimed at	Training (BEST). (Estimated		
helping people enter the	Date: July 2020)	Strengthen relationship with	Develop a decision tree on how
general workforce.		ODE to educate, train, and	individuals would transition from
	Vocational Habilitation	support local education	current vocational habilitation service
The service must be tied to	service will be sunsetted	authorities on the BEST	into the newly identified service.
clear outcomes for the	and there will be no new	service and time limit.	
person.	admissions once the new	Increase Pre-employment	Develop decision tree on service
	Basic Employment Skills	transition services for youth.	options and planning process for
The service must be used to	Training Service is made		individuals 18+. Detailing what occurs
teach or develop general	available.	Update current Vocational	if services are needed beyond the 24
skills.		Habilitation Rule, 5123: 2-9-	month time limit.
	Anyone 50 or older who is	14 to retire this service.	
The waiver application must	currently enrolled at the		Outline the details for the County
say where the service can be	time the Basic	Develop new Basic	Board extension process and the
provided.	Employment Skills	Employment Skills Training	DODD review process (required for
	Training Service is made	Service OAC.	any extensions beyond 3 years).
The service cannot be used to	available, will be able to		
teach specific job skills	maintain services as they	Amend DODD/OOD and	Increase communication and
needed to perform work in a	are today. i.e. Remain in	DODD/Medicaid	outreach to individuals, families,
facility-based job.	facility-based	Interagency Agreements to	providers, and CB's ongoing
	prevocational services, if	reflect time limit and reflect	throughout the process
The service must be reviewed	chosen.	the required partnership to	
at least annually.		support people to obtain	

Employment First rule and maintain community DODD will create resource document would still apply to these employment. and training on how the Adult Day individuals but if they Support Service can be delivered for choose to remain in a Evaluation and revisions to those individuals whose goals are facility-based setting, this **Acuity Assessment** targeted at retirement. would be approved. Instrument (AAI) The plan must identify the **Evaluation** and person's outcomes, the recommendations to align general skills intended to budget limitations for adult day and employment be obtained through Basic services. **Employment Skills** Training Service, where those services will be **Evaluation and** delivered, and when they recommendations to align will be reviewed. financing for adult day and employment services. **Basic Employment Skills** Training may only be Ongoing evaluation of nonauthorized for people who medical transportation. express an interest in obtaining competitive, Build SSA capacity. integrated employment. Build provider capacity to deliver prevocational **Basic Employment Skills** Training Service can only services in alignment with be authorized for a total federal requirements. of 24 months for people. Effective Date: July 2020 Yearly extensions to this

service can be approved

as needed. Extensions will only be granted for a one-year timespan. This will correspond with ISP.
County Boards of Developmental Disabilities will be able to authorize the one-year extension up to 3 times.

After the third extension, DODD will develop an authorization process and extensions beyond 3 times could only be authorized by DODD.

There will be no limit to the number of available extensions.

A person's place on the Path to Community Employment is identified in the plan.

The Employment First Rule, 5123-2-2-05 will be amended requiring County Boards of Developmental Disabilities to report yearly individuals' Place on the
Path to Community
Employment. Rule
amended by July 2020.
Data collection will be
required starting October
2020.

Workforce Innovation and Opportunity Act (WIOA)			
What does it require?	How can we do it?	What system changes will	What tools can we create to make
		help make this work?	this work?
Youth and adults with	Youth 24 and younger,	Employment First Taskforce	Ongoing development of
disabilities should be able to	must:	Joint Guidance document	communication and outreach tools
have the option and exposure	1. Be provided with PreETS	created and distributed.	to individuals, families, providers,
to working in competitive,	2. Apply for VR services		and CB's ongoing throughout the
integrated employment.	and has been found	Vocational Rehabilitation is	process.
	ineligible or had their case	the lead agency for parts of	
Places limits on the payment	closed without an	WIOA.	Muli-system case management
of subminimum wages to	employment outcome	 Developed and 	training requirements regarding
individuals with disabilities.	3. Provided career	administer career	community employment.
	counseling	counseling.	
Sets requirements for youth		2. Developed and	
24 and younger and adults.	Adults being paid	authorizing PreETS	
Sets requirements for VR and	subminimum wage must		
schools.	receive:	ODE has distributed	
	1.Career counseling at	guidance, forms, and	
	least once a year	reference materials.	
	2.Provided with	Added to Employment First	
	information on self-	Transition Vision Work Plan.	
	advocacy, self-	(5.5.1	
	determination, and peer	Increase of Pre-Employment	
	mentoring opportunities	Transition Services.	
		Ongoing joint training	
		regarding IEP outcome of	
		competitive, integrated employment.	
		Target towards all school	
		districts but initial outreach	
		districts but initial outreach	

to current county board operated schools. Fully operationalizing the **Employment First Transition** Framework. Build SSA capacity. Build provider capacity. Multi-system required employment competencies for case managers in OOD, DODD, ODE, ODJFS, and **ODM** regarding employment resources for individuals with I/DD. Multi-system core competencies for employment providers across OOD, DODD, ODE, ODJFS, and ODM. Increase access for youth exiting school to multi career pathways, including post-secondary options

Department of Labor (14c subminimum wage certificate)

A person must be paid for all hours worked.

A person must be paid minimum wage, in accordance with state and federal standards, unless:

- a. The person is doing work for an employer who has a 14c certificate from the Department of Labor; AND
- b. The person's disability impairs his ability to do earn and produce the work being performed at the same rate as non-disabled workers.

If paid below minimum wage, the person's wage is based on the worker's ability to produce work, as compared with non-disabled workers doing the same work, and must be reevaluated at least every 6 months.

Employers must keep track of daily and weekly hours worked and time spent not doing paid work, as defined by the Fair Labor Standards Act (FLSA).