Framework for Aligning Vocational Habilitation Service Delivery with State and Federal Requirements

Home and Community-Based Waiver Services 42 CFR 440.180 (c)(2)(i)			
What does it require?	How can we do it?	What system changes will help make this work?	What tools can we create to make this work?
Prevocational services are for people not expected to join the general workforce within the next year or participate in a transitional sheltered workshop, except for	When people receiving Basic Employment Skills Training (BEST) are paid, the following guidelines will be used:	Develop a guidance document on how to make the annual calculation. Include annual compensation amount. Amend DODD/OOD &	Develop communication and outreach tools to individuals, families, providers, and CB's ongoing throughout the process. Develop Service flow chart
supported employment. People who are paid are paid less than 50% of the minimum wage.	 Compensation will be reviewed at least every months to determine if the person has achieved 50% of the minimum wage 	DODD/Medicaid Interagency Agreements to reflect. Strengthen relationship with ODE to educate, train, and support local education authorities about	that providers/SSA's can use to show the range of adult day and employment services that are available.
The service is not used to teach a person a specific job but is used to help people learn the general skills needed to work in any job.	threshold. 2. "Minimum wage" is the Ohio minimum wage. 3. The calculation will be based off of the state minimum wage and the	the (BEST) service. Fully operationalize the Employment First Transition Framework.	Develop Employment decision tree that providers can share with families and people with disabilities
Services are reflected in the persons service plan identifying the skills to be developed, the main objective	Bureau of Labor Statistics (BLS) data. BLS tracks how many hours per week the average American work and	Build SSA capacity to review compensation standards, facilitate difficult conversations with families, understanding when to	

for the service cannot be for	releases that information	authorize BEST, and BEST rule	
employment.	monthly.	requirements.	
DODD's current prevocational	Annual wage earnings will		
service is called <u>Vocational</u>	be added to the required		
<u>Habilitation</u> . The Vocational	employment services		
Habilitation Service will	progress report that is		
transition into the Basic	submitted to the SSA once		
Employment Skills Training	every 12 months.		
Service (BEST), effective			
December 2020.	This information will be		
	used to plan for		
	transitioning the person		
	from BEST to other needed		
	employment supports.		

	Home and Community-Based Services (HCBS) Settings Rule 42 CFR 441.301 (4)			
What does it require?	How can we do it?	What system changes will help make this work?	What tools can we create to make this work?	
Wherever a person receives	Strengths, interests and	Amend DODD/OOD and	Increase communication and	
Medicaid-funded waiver	abilities are identified	DODD/Medicaid	outreach tools for individuals,	
services has to be part of the	through the person-	Interagency Agreements to	families, providers, and CB's ongoing	
community.	centered planning	reflect the HCBS Settings	throughout the process	
	process.	Rule and reflect required		
The providers of HCBS		partnership to support		
Medicaid-funded waiver	People are given the	people towards community		
services must support people,	opportunity to be involved	employment.		
as needed, with being	in community activities			
involved in their community	with support, as needed,	Strengthen relationship with		
in ways that are meaningful	by providers of BEST	ODE to educate, train, and		
to them.	services.	support local education		
		authorities on the HCBS		
A person must be given the	SSAs make sure people	Settings Rule.		
choice of receiving services in	are given choices of			
places that are not just for	services available to meet	Build SSA capacity to		
people with disabilities	their needs and in non-	understand the HCBS		
The SSA must document all	disability-specific settings	settings rule, facilitate		
the choices a person was	where those services can	difficult conversations with		
offered.	be provided. These	families, understanding		
	options are documented	when to authorize BEST and		
New CMS issued guidance	in the record.	BEST rule requirements		
indicates that all settings that		based on the HCBS settings		
have the effect of isolation do	Monitor ongoing	rule.		
not have to be submitted to	compliance with standards			
CMS for heightened scrutiny	via monitoring by SSAs			

if the setting implements remediation to the state's satisfaction by July 1, 2020.

• States may submit to CMS those isolating settings that have not completed remediation by July 1, 2020 if a state determines that these settings can implement remediation prior to the expiration of the transition period (March 17, 2022).

and Department level staff using the process outlined for regulatory review of certified providers outlined in OAC 5123:2-2-04.

HCBS Medicaid services rules are updated to reflect settings rule requirements, as needed.

Build provider and SSA capacity to plan, authorize, and deliver services in settings that meet the HCBS Settings Rule.

Set benchmarks in Ohio Transition plan to increase the number of people receiving community based prevocational services.

Gather baseline data of current available community based prevocational services providers by 2019.

Identify what funding would be available to provide training and technical assistance to support sheltered workshops to continue to employ individuals with I/DD without Medicaid funding. The funding would be temporary and would only fund technical assistance for strategic planning and implementation.

- a. By 2020, increase community prevocational services providers by 10%.
- b. By 2022, increase community prevocational service providers by 20%.

Remediation plans may be required for settings the State determines to have the effect of isolating. Heightened scrutiny may also be requested from CMS.

Centers for Medicare and Medicaid Services (CMS) Technical Guide (9/16/2011)			
What does it require?	How can we do it?	What system changes will help make this work?	What tools can we create to make this work?
Prevocational services occur	Develop new HCBS waiver	Develop new HCBS waiver	Develop training and guidance
over a defined period of time	service to be effective in	service to be effective in	materials for SSA's, providers,
(not indefinite/forever).	2020. The new service is	2020. Training and TA to	individuals and families regarding this
	Basic Employment Skills	occur.	service.
The service must be aimed at	Training (BEST). (Estimated		
helping people enter the	Date: December 2020)	Strengthen relationship with	Develop a decision tree on how
general workforce.		ODE to educate, train, and	individuals would transition from
	The BEST service will	support local education	current vocational habilitation service
The service must be tied to	include two tiered	authorities on the BEST	into the newly identified service.
clear outcomes for the	requirements for service	service and time limit.	
person.	delivery.	Increase Pre-employment	Develop decision tree on service
		transition services for youth.	options and planning process for
The service must be used to	Tier 1: Persons receiving		individuals 18+. Detailing what occurs
teach or develop general	the Vocational Habilitation	Develop new Basic	if services are needed beyond the 24
skills.	service who are under 50	Employment Skills Training	month time limit.
	years of age at the time	Service OAC.	
The waiver application must	Basic Employment Skills		Outline the details for the County
say where the service can be	Training service becomes	Amend DODD/OOD and	Board extension process and the
provided.	effective. New entries into	DODD/Medicaid	DODD approval process (required for
	Basic Employment Skills	Interagency Agreements to	any extensions beyond 3 years).
The service cannot be used to	Training, regardless of	reflect time limit and reflect	
teach specific job skills	age.	the required partnership to	Increase communication and
needed to perform work in a		support people to obtain	outreach to individuals, families,
facility-based job.	This tier of service will be	and maintain community	providers, and CB's ongoing
	required to meet all state	employment.	throughout the process
	and federal regulations		

The service must be reviewed	related to compensation,	Evaluation and revisions to	DODD will create a resource
at least annually.	time limits for	Acuity Assessment	document and training on how the
,	prevocational services, etc.	Instrument (AAI)	Adult Day Support Service can be
	·		delivered for those individuals whose
	Employment First rule	Evaluation and	goals are targeted at retirement.
	would still apply to these	recommendations to align	
	individuals but if they	budget limitations for adult	
	choose to remain in a	day and employment	
	facility-based setting, this	services.	
	would be approved.		
	The plan must identify the	Evaluation and	
	person's outcomes, the	recommendations to align	
	general skills intended to	financing for adult day and	
	be obtained through Basic	employment services.	
	Employment Skills		
	Training Service, where	Ongoing evaluation of non-	
	those services will be	medical transportation.	
	delivered, and when they		
	will be reviewed.	Build SSA capacity.	
	Basic Employment Skills	Build provider capacity to	
	Training may only be	deliver prevocational	
	authorized for people who	services in alignment with	
	express an interest in	federal requirements.	
	obtaining competitive,	'	
	integrated employment.		
	, ,		
	Basic Employment Skills		
	Training Service can only		
	be authorized for a total		
	of 24 months for people.		

Effective Date: December 2020

Yearly extensions to this service can be approved as needed. Extensions will only be granted for a one-year timespan. This will correspond with ISP.

County Boards of Developmental Disabilities will be able to authorize the one-year extension up to 3 times.

After the third extension, DODD will develop an authorization process and extensions beyond 3 times could only be authorized by DODD.

There will be no limit to the number of available extensions.

A person's place on the Path to Community Employment is identified in the plan. Tier 2: Persons currently receiving the Vocational Habilitation service who are 50 years of age or older at the time the Basic Employment Skills Training service becomes available who are not interested in competitive, integrated employment.

The Employment First
Rule, 5123-2-2-05 will be
amended requiring
County Boards of
Developmental Disabilities
to report yearly
individuals' Place on the
Path to Community
Employment. Rule
amended by December
2020. Required data
collection will start in
October 2020 and end in
March 31, 2021.

Workforce Innovation and Opportunity Act (WIOA)			
What does it require?	How can we do it?	What system changes will help make this work?	What tools can we create to make this work?
Youth and adults with disabilities should be able to have the option and exposure to working in competitive, integrated employment. Places limits on the payment of subminimum wages to individuals with disabilities. Sets requirements for youth 24 and younger and adults. Sets requirements for VR and schools.	Youth 24 and younger, must: 1. Be provided with PreETS 2. Apply for VR services and has been found ineligible or had their case closed without an employment outcome 3. Provided career counseling Adults being paid subminimum wage must receive: 1. Career counseling at least once a year 2. Provided with information on self-advocacy, self-determination, and peer mentoring opportunities	Employment First Taskforce Joint Guidance document created and distributed. Vocational Rehabilitation is the lead agency for parts of WIOA. 1. Developed and administer career counseling. 2. Developed and authorizing PreETS ODE has distributed guidance, forms, and reference materials. Added to Employment First Transition Vision Work Plan. Increase of Pre-Employment Transition Services. Ongoing joint training regarding IEP outcome of competitive, integrated employment.	Ongoing development of communication and outreach tools to individuals, families, providers, and CB's ongoing throughout the process. Muli-system case management training requirements regarding community employment.

Target towards all school districts but initial outreach to current county board operated schools.

Fully operationalizing the Employment First Transition Framework.

Build SSA capacity.

Build provider capacity.

Multi-system required employment competencies for case managers in OOD, DODD, ODE, ODJFS, and ODM regarding employment resources for individuals with I/DD.

Multi-system core competencies for employment providers across OOD, DODD, ODE, ODJFS, and ODM.

Increase access for youth exiting school to multi career pathways, including post-secondary options

Department of Labor (14c subminimum wage certificate)

A person must be paid for all hours worked.

A person must be paid minimum wage, in accordance with state and federal standards, unless:

- a. The person is doing work for an employer who has a 14c certificate from the Department of Labor; AND
- b. The person's disability impairs his ability to do earn and produce the work being performed at the same rate as non-disabled workers.

If paid below minimum wage, the person's wage is based on the worker's ability to produce work, as compared with non-disabled workers doing the same work, and must be reevaluated at least every 6 months.

Employers must keep track of daily and weekly hours worked and time spent not doing paid work, as defined by the Fair Labor Standards Act (FLSA).